

(To be printed on letterhead in case of Non-Individual client)

UNDERTAKING FOR REACTIVATION OF EQUITY / CURRENCY / COMMODITY TRADING ACCOUNT

To,
PINNACLE FOREX & SECURITIES PVT. LTD.
Office No. A-1307, O2 Commercial Building,
Plot No 23-24, Near Minerva Industrial Estate,
Mulund (W), Mumbai – 400080.

Dear Sir,

Sub: My/Our request for reactivation of client Trading Account on BSE / NSE / MCX / NCDEX

I/we _____, having
trading account with Unique Client Code _____ allotted to me/us by your broking house situated
at _____ since _____ (date of activation of the account).

I/we am/are not trading on BSE / NSE / MCX / NCDEX trading platform since _____ (last trade
date). However, I/we am/are desirous to start trading again on BSE / NSE / MCX / NCDEX platform. In this regard
you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/we hereby confirm and undertake that:

1. I/We have completed all the KYC formalities and submitted all the required documents thereof (Proof of Identity, Proof of Address, Proof Bank & Demat A/c, PAN etc.), at the time of opening the trading account originally and enrolling as a client with you.
2. There are no material changes in respect of my /our Proof of Identity, Proof of Address, Proof Bank & Demat A/c, PAN etc., as provided to you earlier. Further, there is no material change in the other information provided to you in KYC form.

I/we declare that the information given above is true to my/our knowledge. I/We, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

Signature of Client: **X**

Date: _____

Place: _____

To,
Pinnacle Forex & Securities Pvt. Ltd.
A-1307, O2 Commercial Building,
Plot No.23-24, Near Minerva Industrial Estate,
Mulund (W), Mumbai – 400 080

Date: _____

Dear Sir/Madam,

Sub: Updation of Financial & Other Details

Ref: Trading Account No. _____

I/We understand that as per guideline prescribed by the SEBI, the client is required to share their financial information to the stock broker on a periodic basis.

In view of these guidelines, please find my / our details as mentioned below.

Financial details:

Income Range (Per Annum) (**Financial Year: 20**____ - ____)

() Below 1 Lac () 1-5 Lac () 5-10 Lac () 10-25 Lac () 25 Lac – 1 Crore () Above 1 Crore

Net worth Rs. _____ as on _____ (not older than 1 year)

Occupation details:

() Private Sector () Public Sector () Government Service () Business () Professional

() Agriculturist () Housewife () Student () Retired () Other : _____

Other details:

() Politically Exposed Person (PEP) () Related to a Politically Exposed Person (PEP) () Not Applicable

Declaration:

I/We hereby declare that the information provided hereinabove is true and correct to the best of my knowledge and belief and I / We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / We are aware that I / We may be held liable for it.

Signature of the Client: **X**

Name of the Client: _____

Any one proof of financial details as indicated in the list of documents.

- | | |
|---|---|
| () Copy of latest ITR Acknowledgement | () Copy of latest Form 16 in case of salary income |
| () Copy of latest Annual Accounts | () Latest Net worth Certificate (not more than 1 year old) |
| () Copy of latest 3 months Salary Slip | () Bank Account Statement (for last 6 months) |
| () Copy of latest demat holding statement | () Any other relevant documents substantiating ownership of assets |
| () Self declaration along with relevant supporting | |