

Number of investor complaints for Stock Broking

| Data for month ending - <u>May 2025</u> | | | | | | | | |
|---|-------------------------|-------------------------------------|---------------------------|---------------|-----------|--------------------------------|--------------------------------|--|
| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of month** | | Average Resolution time [^] (in days) |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Trend of monthly disposal of complaints | | | | | |
|---|-----------|-------------------------------------|----------|-----------|-----------|
| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
| 1 | Jun-2024 | 0 | 0 | 0 | 0 |
| 2 | July-2024 | 0 | 0 | 0 | 0 |
| 3 | Aug-2024 | 0 | 0 | 0 | 0 |
| 4 | Sept-2024 | 0 | 0 | 0 | 0 |
| 5 | Oct-2024 | 0 | 0 | 0 | 0 |
| 6 | Nov-2024 | 0 | 0 | 0 | 0 |
| 7 | Dec-2024 | 0 | 0 | 0 | 0 |
| 8 | Jan-2025 | 0 | 0 | 0 | 0 |
| 9 | Feb-2025 | 0 | 0 | 0 | 0 |
| 10 | Mar-2025 | 0 | 0 | 0 | 0 |
| 11 | Apr-2025 | 0 | 0 | 0 | 0 |
| 12 | May-2025 | 0 | 0 | 0 | 0 |
| Grand Total | | 0 | 0 | 0 | 0 |

* Should include complaints of previous months resolved in the current month, if any.

** Should include total complaints pending as on the last day of the month, if any.

[^] Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

| Trend of annual disposal of complaints | | | | | |
|--|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SN | Year | Carried forward from previous year | Received During the year | Resolved during the year | Pending at the end of the Year |
| 1 | 2020-21 | 0 | 0 | 0 | 0 |
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| 4 | 2023-24 | 0 | 0 | 0 | 0 |
| 5 | 2024-25 | 0 | 0 | 0 | 0 |
| Grand Total | | 0 | 0 | 0 | 0 |